Inactivation of *S. mutans* Using an Atmospheric Plasma Driven by a Palm-Size-Integrated Microwave Power Module

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Abstract—A low-power palm-size microwave power module for portable microwave-excited plasma applicable to biomedicine is integrated on a single printed circuit board for the first time. The designed board includes a power amplifier chip, a phase-locked loop (PLL) chip, and an impedance matching network with microstrip lines. In addition, as a part of the true one-chip integration of the plasma power module, the PLL is designed and fabricated in a semiconductor chip with a 0.18- μ m CMOS technology for dedicated use to our plasma source. For the plasma generator, a 900-MHz coaxial transmission line resonator is used with argon gas flow. The designed plasma source is applied to the inactivation of S. mutans, showing three-log reduction within 180-s treatment. Measurements for the different initial concentrations (from 10⁵ to 10⁹ CFU/mL) are also conducted, and the outcomes are discussed. The results verify the feasibility of the proposed integrated microplasma source for use in dental treatments.

Index Terms—Microwave-excited plasma, nonthermal discharges, portable microplasma, sterilization, *Streptococcus mutans*.

I. INTRODUCTION

R ECENT progress of effective plasma treatment in biomedical applications has attained strong attention, leading to motivations for interdisciplinary research on the challenging field of biomedical areas [1]–[3]. The safe feature of the plasma-based treatments with charged particles and reactive species has raised expectations for possible replacement of traditional approaches which should be carefully taken due to harmful side effects. The successful outcomes with plasma treatments in soft biomedical areas, such as disinfection [4], [5], coagulation [6], and wound healing [1], have driven the

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Digital Object Identifier 10.1109/TPS.2010.2048112

development of portable atmospheric-pressure plasma sources for manufacturing point-of-care devices.

One common issue in industrial acceptance is the implementation of compact and inexpensive electrical power supply for the plasma generation. Microwave-excited microplasma has the highest feasibility compared with other types since it has the advantages of low power, low voltage, safety from highvoltage shock, electromagnetic compatibility, and long lifetime due to the low energy of striking ions. In addition, it is even nourished by the well-developed semiconductor technology for the system integration. A power amplifier (PA) chip, some oscillator circuitry, impedance matching networks on a printed circuit board (PCB), and the plasma source itself can be all integrated into a compact unit. For the microwave-excited plasma, various structures have been proposed with coaxial plasma torch [7], slot resonator [8], coaxial resonator [9], microstrip lines [10]-[12], and microstrip split-ring resonator [13]. While all these works aim at the integrated microplasma source, the true integration has not been realized until now since it still requires high level of expertise of many engineering fields.

As a promising candidate for use of the low-power and small plasma sources, site-specific disinfection has been considered for the small area treatment in dentistry [14], [15]. For the treatment of dental caries, inactivation of Streptococcus mutans (S. mutans) with an RF-powered plasma needle was demonstrated in [16]-[18]. S. mutans is the most significant oral pathogenic bacterium causing dental caries [19]. Traditional bactericidal treatments for S. mutans are based on rinsing with chemotherapeutic agents [20], [21], resulting in unwanted side effects such as stains, unpleasant taste, and lingering pain after the treatment. On the other hand, a plasma treatment is unlikely to give such pains since the bactericidal effect is due to the reactive species in the gas phase excited by plasma, presenting high feasibility as an alternative to the chemical solutions. While the effectiveness of site-specific killing of S. mutans by the atmospheric-pressure plasma treatment was shown in [16]–[18], quantitative measurements have not been reported yet.

This paper presents the first implementation of an integrated microwave power module on a single PCB for plasma generation and its application to the inactivation of *S. mutans* with quantitative measurements. The designed board includes a PA chip, a phase-locked loop (PLL) chip for the signal generation, and an impedance matching network with microstrip

Manuscript received November 1, 2009; revised February 26, 2010; accepted April 5, 2010. Date of publication May 24, 2010; date of current version August 11, 2010. This work was supported in part by IDEC Program of Korea for the chip fabrication, by the Korea government (MOST) through the Korea Science and Engineering Foundation Grant R01-2007-000-10730-0, and by the Korea Ministry of Education, Science, and Technology through its Brain Korea 21 Program.

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engineering. For the plasma generator, the coaxial transmission line resonator (CTLR) [9] operating at 900 MHz was adopted. Test results verify the feasibility of the proposed integrated microplasma source for clinical treatment in dentistry with an effective inactivation of *S. mutans*. Further expansion of this work to 2.45-GHz source is also underway.

This paper also describes the integration of a building block into a semiconductor chip which has never been tried for the plasma source. As the first step to the true one-chip integration of the plasma power generator, we designed our own PLL dedicated to our device. A commercial PLL chip requires an extra controller chip and complicated logics for the PLL programming, which increases cost and complexity. However, the designed PLL chip is dedicated to our microplasma source and greatly simplifies the generation of oscillating signal without the need of programming. The successful implementation of the PLL chip shows the visibility of the first single-chip integration of the microwave power module, including a PA, a PLL, and various processing logics for adaptive controls and self-calibrations.

Section II describes circuit schematics of the fabricated PLL chip, the implemented plasma source, and preparation of *S. mutans* samples. Section III discusses a brief discharge characteristics, the power efficiency of microwave-excited plasma generator, and bactericidal efficacy of the plasma. Section IV concludes this paper.

II. DESCRIPTION OF EXPERIMENTAL DETAILS

A. Power Module

Fig. 1(a) shows the schematic of the integrated plasma system. The microwave power generator consists of a designed PLL, a commercial PA (Freescale Semiconductor, MHVIC915NR2), impedance matching microstrip lines, and passive components for biasing with all integrated on a single PCB. The input to the PLL is a 56-MHz clock, which can be easily obtained with a commercial crystal oscillator. The PLL performs frequency multiplication by 16 and generates a 900-MHz signal with an output power of 9 dBm (= 8 mW). The output of PLL is applied to PA through a coupling capacitor for the isolation of dc operating conditions. The power gain can be controlled by the adjustment of Vb. The maximum output power available was 37 dBm (= 5 W). The PLL uses 1.8 V for supply voltage, and the power required for PLL operation is only 20 mW, which is negligible compared to the total power consumption. The load inductor for PA was implemented with a $\lambda/4$ 50- Ω -transmission line. The power efficiency of the power module $\eta_{\rm PM}$ can be defined as the ratio of the applied dc power on the power module and the output power. The total power to generate the maximum output power of 5 W on PA output was 15.6 W, resulting in $\eta_{\rm PM}$ of 32%. The output of PA is connected to the plasma source through a capacitor for dc blocking. For the plasma source, we used the CTLR with an input impedance of 50 Ω [9]. Since the output resistance of the used commercial PA was 10 Ω , it should be transformed to 50 Ω for the matching. The matching is performed with a cascade of three sections (Z1, Z2, and Z3) of different microstrip structures, which is typically







Fig. 1. Description of the integrated plasma system with (a) circuit diagram, (b) implemented power module on a PCB routing, and (c) generated plasma with a designed power module.

used for high power PA matching. Though a direct matching to 10 Ω is also possible without the matching network, it is not recommended for the following reasons. The input impedance of CTLR [9] becomes more sensitive to variations of feeding point when the target resistance is set to 10 Ω . In addition, 50 Ω gives more flexibility for various applications since the



Fig. 2. Block diagram of the designed PLL (integrated into a 0.18- μ m CMOS chip).



Fig. 3. (a) Layout and (b) photomicrograph of the fabricated PLL with a 0.18- μ m CMOS technology. All blocks were integrated in a die size of 0.7 mm × 0.7 mm.

characteristic impedance of commercial coaxial cables and test equipment is typically 50 Ω . Fig. 1(b) and (c) shows the photographs of the designed PCB and the generated plasma with it, respectively.

It can be noted that typical commercial PA consumes significant extra power to keep high linearity, which is the most important performance in wireless communication. However, the linearity is not a concern in our plasma generation; thus, the efficiency can be further improved if a nonlinear PA is designed for the dedicated use to our application. The research on the PA design and integration into a chip is also being underway.

Fig. 2 briefly shows the circuit diagram of the designed PLL implemented in a silicon die. The PLL consists of a phase detector, a loop filter, an oscillator, and a frequency divider by 16. The phase detector compares the rising transitions of two input signals and outputs the result in the form of digital levels (one or zero). The two-level quantized digital output is smoothed out by experiencing the loop filter. Then, the filtered result on X becomes similar to an analog quantity. The ring oscillator is designed so that the output frequency should be controlled to be proportional to X. The output is fed back to the phase detector after frequency division by 16, forming a negative feedback loop for precise tracking. The frequency divider can be easily implemented by the cascade of four toggling flip-flops. The PLL was implemented in a 0.18- μ m CMOS technology. Fig. 3 shows the layout and a photomicrograph of the fabricated PLL occupying a total silicon area of 0.7 mm \times 0.7 mm. The tested output spectrum of the PLL is shown in Fig. 4 (Agilent, E4407B). As designed, a dominant tone is measured at a target frequency of 900 MHz. However, for the optimum S11 with CTLR, the output frequency can be precisely tuned by modifying the input frequency. Although a PLL can be



Fig. 4. Measured spectrum of PLL output with an input frequency of 56 MHz. The dominant tone is measured at 900 MHz with spurs suppressed by 60 dB.



Fig. 5. Calculation of the input impedance as a function of l_1 for the CTLR and determination of the feeding point to eliminate extra matching network (the inset is the magnification of the intersecting point).

understood as a linear system, imperfections of building blocks cause some nonlinearity which generates spurs (small spikes in Fig. 4) in the spectrum. In this paper, the power of spurs is suppressed by 60 dB, which corresponds to a negligible power of microwatt range.

B. Plasma Generator

For the plasma generator, a CTLR [9] operating at 900 MHz was adopted. The device utilizes a quarterwavelength resonance. Based on the microwave transmission line theory, the input impedance Z_{in} of the resonator can be calculated. The imaginary part of the input impedance disappears at a given resonance frequency of around 900 MHz. As a result, only the real part of the input impedance remains as (1), and the input impedance of the resonator is a function of feeding point, as shown in Fig. 5

$$Z_{\rm in} \approx Z_0 \left[\frac{\alpha l_1}{\sin^2(\beta l_1)} + \frac{\alpha l_2}{\cos^2(\beta l_2)} \right]^{-1} \tag{1}$$

where $l_1 + l_2 = L = \lambda/4$. *L* is the total length of the resonator, and Z_0 is the characteristic impedance of the coaxial transmission line. The feeding point is defined by l_1 , which is the length from feeding point to short end, and l_2 , which is the length from feeding point to open end. α and β represent the attenuation constant and the phase constant of the coaxial line, respectively. The complex propagation constant or wavenumber *k* is equal to $\beta - j\alpha$. To derive (1), it is assumed that $\alpha \cdot l \ll 1$, i.e., the loss of the transmission line is negligible, which leads to $\tan(\alpha l) \approx \alpha \cdot l$. Fig. 5 shows the plot of (1) in solid line and the reference impedance (= 50 Ω) in dashed line. The inset in Fig. 5 shows that the two lines cross at $l_1 = 2.3$ mm, which is the optimum feeding point to eliminate extra matching networks.

C. Sample Preparation

The strain of *S. mutans* KCTC 3065/ATCC 25175 was grown in Brain Heart Infusion (BHI) broth (Fluka, Switzerland). A loopful of colonies was transferred into a 100-mL BHI medium, and an overnight culture containing approximately 10^9 CFU/mL was prepared (CFU represents colony-forming units). The cell suspension was diluted to the required concentrations of $10^5 \sim 10^9$ CFU/mL, and 50 μ L of the solution was deposited onto a membrane filter and then dried. After the plasma treatment, the treated samples were placed in a 5 mL of sterile distilled water and recovered from the membrane filter using a vortex mixer. A series of dilutions were carried out; $100 \ \mu$ L of these dilutions was evenly spread over BHI agar plates and incubated at 37 °C for 24 h, and the colonies were counted with the number range of 30–300. All experiments were conducted in triplicate.

III. EXPERIMENTAL RESULTS AND DISCUSSIONS

A. Description of Discharge

It is suggested that the discharge is to be in nonthermal equilibrium to treat temperature-sensitive biological cells and tissues. The OH spectra at 309 nm of the discharge were investigated to estimate the rotational temperature based on the optical emission analysis [23]. The temperature in the ignition point of the plasma jet was in the range of 500-620 K for 1.5-3.5-W input power. This high temperature can contribute to the nitric oxide (NO) generation, which is one of main bactericidal agents of nonthermal plasmas. Then, the gas temperature goes rapidly down to $\sim\!45~^\circ\mathrm{C}$ from the ignition point to the end of the plasma jet. Therefore, the sample bacteria S. mutans presented here had no thermal damage by the plasma jet. The typical value of the excitation temperature at 4-W input power and 3 standard liters per minute (slm) was shown to be ~ 0.45 eV, and the electron temperature was calculated to be ~ 1.8 eV by using a C-R model of an atmospheric-pressure argon plasma [9], [24].

B. Power Efficiency

The design of the resonator described before is biased to find out a feeding point of microwave power before igniting



Fig. 6. Estimation of plasma impedance and power efficiency. (a) Reflection coefficient (S_{11}) before and after plasma ignition. (b) Resonant frequency and power efficiency as a function of input power.

plasma. Once the plasma is generated at the end of the device, the behavior of the resonator is perturbed due to the capacitive nature of the charged particles of plasma. The reflection coefficient (S_{11}) of the resonator after the ignition of plasma can be measured by monitoring the forward power and reflected power. The input power of the resonator indicates the forward power minus the reflected power. The plasma impedance (Z_p) can be estimated by fitting the measured data, as shown in Fig. 6(a). The power efficiency of the CTLR itself η_{CTLR} can be calculated by using the value of Z_p . The η_{CTLR} represents the actual portion of deposited power to the plasma by the total input power delivered from the input port of the device [9].

In this paper, 4 slm of argon gas flow was applied to generate the plasma at atmospheric pressure. The deviation from the original unloaded resonant frequency increases as the input power to the devices increases. This results from the increase of the sheath capacitance due to the increase of electron density in the bulk of the discharge with increasing the input power [13]. For the input powers of 2, 3, and 4 W, the resonant frequency offsets by 5.4, 8.4, and 11.1 MHz, and the power efficiencies are reduced to 47, 44, and 41%, respectively, as shown in Fig. 6(b). Therefore, the total power efficiency of the system becomes $\eta_{\rm PM}$ times $\eta_{\rm CTLR}$, which leads to around 14.4%. 1960



Fig. 7. Survival curve of *S. mutans* exposed to the hot argon gas (50 $^{\circ}$ C) and microwave-excited plasma jet driven by the integrated microwave power module. The input power is 4 W, the argon flow is 4 slm, and the exposure distance is 8 mm.

C. Inactivation of S. mutans

The prepared S. mutans samples were exposed to the plasma jet with an input power of 4 W and an argon flow of 4 slm. The distance between the nozzle of plasma generator and a membrane filter was 8 mm. Since the length of plasma plume in this condition was about 10 mm, the plasma jet touched the cells directly. The initial concentration of S. mutans deposited on a membrane filter was 2.1×10^7 CFU/mL, and the 3-log reduction was achieved within 180 s, as shown in Fig. 7. The D-value that is the time required to achieve 90% reduction of microorganisms was estimated to be 19 s. The number of survivals does not follow the logarithmic function of time. The effect of the treatment decreases as the treatment time increases. After 120 s, further treatment up to 180 s did not show a significant reduction of bacteria. S. mutans is known to be inactivated at temperature above 60 °C [22]. To ensure that the results are not by the thermal inactivation, control experiment was also conducted with the heated argon gas (50 °C) without plasma. As shown in Fig. 7, the treatment with heated gas affects little on the viability of S. mutans. This reveals that the obtained inactivation results were not by the heat. It is also shown in Fig. 8 that the temperature of the plasma jet (approximately 10 mm from the nozzle) measured by a fiber optic sensor (FISO, FTI-10) for 250 s did not exceed 45 °C, revealing that the results are not by the thermal inactivation.

Fig. 9 shows the log reductions of *S. mutans* after a treatment of 60 s for the different initial concentrations. It was observed that the log reductions for the cases of 10^5 and 10^7 CFU/mL were around 2.0. However, the number drops significantly for the larger initial concentrations of 10^8 and 10^9 CFU/mL. Similar phenomenon was observed in the case of *Bacillus subtilis* spores [4]. This is because the cells in higher concentrations form a multilayered structure on the membrane filter and the top layers are likely to form a physical barrier to protect cells below them from plasma penetration. As a result, the resistance to plasma treatment is enhanced.



Fig. 8. Temperature of plasma plume measured at 10 mm from the nozzle for 250 s by a fiber optic sensor (FISO, FTI-10). The input power is 4 W, and the argon flow is 4 slm.



Fig. 9. Log reductions of *S. mutans* treated for 60 s with different initial concentrations. The input power is 4 W, the argon flow is 4 slm, and the exposure distance is 8 mm. (N_0 is the initial number of control cells, and *N* is the number of survival cells.)

IV. CONCLUSION

A microwave power module for point-of-care plasma-driven biomedicine has been implemented on a single PCB for the first time. The designed board includes a PA chip, a PLL chip for the signal generation, and an impedance matching network with microstrip engineering. In addition, as the first step to the true one-chip integration of the plasma power module, the PLL was designed in a semiconductor chip dedicated to our plasma source. The successful implementation of the PLL chip shows the feasibility of a single-chip integration of the microwave power module. With a CTLR operating at 900 MHz for the plasma generator, the treatment was applied to the inactivation of S. mutans. The experimental results show three-log reduction within 180-s treatment when the initial concentration is on the order of 10^7 CFU/mL. For the larger initial concentrations of 10⁸ and 10⁹ CFU/mL, the bactericidal effect drops significantly, which is similar to the case of Bacillus subtilis spores. This is expected to be due to the multilayered structure preventing lower layers from plasma penetration. The results

show the feasibility of the proposed integrated microplasma source for use in clinical treatments in dentistry.

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